

Mask Detection using Deep Learning Methods

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Abstract— If nothing changes, the COVID-19 pandemic will devastate institutions like the academy around the world, forcing them to lock their doors virtually. SARS-CoV-2 is a coronavirus that causes the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). Droplets of contaminated respiratory secretions spread coronavirus-2 when an infected person talks, sneezes, or coughs. Close contact with an infected person or exposure to contaminated surfaces and items accelerates the spread. The only surefire way to keep ourselves safe at this point is to avoid getting infected in the first place. One strategy to prevent exposure to the virus is to wear a facemask that covers the nose and mouth whenever one goes into a public place and to wash hands often or use sanitisers with at least 70% alcohol. As our ability to analyse images has improved, Deep Learning has proven to be an invaluable tool for recognition and classification. The study uses deep learning to determine if a person is correctly wearing a facemask, if they are wearing a facemask at all, or if they are not wearing a facemask at all. The gathered dataset consists of 8982 photos with a resolution of 224x224 pixels, and the trained model attained an accuracy rate of between 99.55% and 98.94%. In real time, the system learns to distinguish between three distinct states: not wearing a mask, wearing the wrong mask, and wearing a mask. This research helps prevent infection and stop the spread of the virus.

Keywords— *coronavirus; MobileNet; MobileNetV2; facemask; infection*

I. INTRODUCTION

To make sure people are wearing their masks correctly in public, scientists have tried to build automatic facial mask recognition algorithms. Since the COVID-19 pandemic, several advancements have been made in the field of face mask monitoring. In crowded places, surveillance systems can't see anyone's face because of image processing techniques [1]. In recent years, deep learning techniques have become increasingly popular for application in image analysis and object detection. A great deal of work has already made use of convolutional neural networks (CNNs). Present algorithms are inadequate in two respects when it comes to identifying face masks. Identifying a large number of faces "with mask and without mask" in a single frame of a

video or photograph could be challenging. Women in our nation frequently wear half-veils, which are not classified as face masks according to current protocols.

Creating an effective and efficient classification approach is crucial for mobile face mask recognition. Many deep learning models are considered unsuitable for mask detection in the setting of mobile face photos due to the time and money needed for the evaluation process. To address the shortcomings of the existing method, the suggested approach makes use of MobileNet and Depthwise Separable Convolution [2]. Since its introduction in [3] and subsequent widespread use [4], depth-separable convolution (DSC) has become an indispensable tool for image processing classification tasks.

Recently, deep learning has been all the rage in many fields of information processing, including computer vision, text analytics, object recognition, and many more [5]. Much of the object detection research up to now has used models trained on convolutional neural networks. In recent years, convolutional neural networks (CNNs) have gained a lot of traction for many uses, such as image identification [1] and speech synthesis [2]. It is clear from the examples provided above that CNN has a significant capability for extracting information from visual data [6], [31], [32], [33]. The use of CNNs is becoming increasingly popular as a replacement for traditional classification methods in order to better collect picture information while also achieving higher classification results. "The evaluation of many deep neural networks during the assessment step is time-consuming and expensive, which makes them unsuitable for mobile-based facial picture categorization applications. It is reported in this paper that a MobileNet-based face image classification model that makes use of a Depthwise separable convolution technique is developed and applied to the job at hand [1]. While there have been several approaches to solving image processing classification problems, DSC (Depthwise separable convolution) was the first technique to be introduced [3], [7]. Depthwise separable convolution quantizes the convolution in a quantized manner. Depthwise convolutions and 11 pointwise convolutions are two types of convolutions that are frequently distinguished in the literature. Depthwise



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convolutions are convolutions that are performed at different depths. Instead of applying each filter to all input channels as is the case with standard convolution, the Depthwise convolution layer employs an 11-pointwise convolution to combine the Depthwise convolution results, rather than applying each filter to all input channels as would be the case with standard convolution. By utilizing a depthwise separable convolution method, it is possible to it is feasible to minimize the number of parameters that must be learned, as well as the associated processing costs, significantly.

Many problems hampered the work that had been done before using deep learning methodologies. Face masks have been advised by the World Health Organization and other health agencies to prevent the spread of COVID-19 are a disease which is extremely contagious. People who do not wear facial masks are required to do so in public places, although it is impossible to manually identify those who do not wear them in large crowds.

The current study proposes a Deep Learning Method for Mask Detection (MD-DLM), which would identify the dataset. The proposed method would be trained on the Mask Detection (MD) dataset. A deep learning technique would be suggested for the improvement of accuracy. The following are the contributions of the present research:

- Develop a Mask Detection using Deep Learning Methods (MD-DLM) that recognizes the mask-worn incorrectly, with mask and without mask classes.
- Improve the accuracy of the existing Deep Learning models

II. LITERATURE REVIEW

As part of object recognition, face mask detection employs image processing algorithms. Classic image processing and deep learning-based image analysis are the two primary kinds of digital image processing that can be distinguished. Deep learning-based techniques to image processing, on the other hand, do not rely on intricate formulas, but rather on models that are designed to replicate the way the human brain functions. Deep Learning models have been employed in the great majority of previous research. Having identified the presence of a face in an image or video, Kaur et al. [1] employ a CNN-based technique to determine whether or not the face has been concealed. When used in a surveillance setting, it has the capability of detecting a mask or a moving face in videos. This approach has excellent accuracy. As part of an effort to recognize face masks in public settings, Bhuiyan and his colleagues created an algorithm called YOLO-v3 [8]. The YOLO-v3 model was trained using a proprietary dataset of photographs classified as “mask and no-mask” by the researchers.

Mata [9] augmented the model’s performance by data enrichment. This ROI must be created using a CNN model that is capable of distinguishing between ROIs with and those without a face mask. Face masks may be detected using MobileNetV2 and three different face detector models, which were constructed by Toppo et al. [10] to verify the model’s correctness and evaluate its performance. As a result of the model’s training, the mask detection method can be implemented on low-power devices more quickly than

previously possible. A VGG-16 CNN model created in Keras/TensorFlow and Open-CV was used by Balaji et al. [11] to identify people in government offices who were not wearing masks. Fan et al. [2] suggested two more ways to adjust for the model’s small weight.

A novel module of residual contextual awareness for important sections of the face mask. Improved mask discriminating features are discovered using a two-stage synthetic Gaussian heat map regression. Feature engineering and numeric identification both benefit from this approach, according to an ablation study. The proposed model outperforms previous models for AIZOO and Moxa3K. For lightweight face picture classification, conventional deep learning techniques are ineffective since they do not provide a good discriminating feature space, as demonstrated in the studies discussed above.

To better characterize the semantic label dependency and picture label relevance, [12] suggested a system employing CNN and RNN. Experiments conducted on the created framework using publicly available benchmark datasets proved the superior performance of the proposed architecture. In [13], a categorization system employing spectral, spatial features. Hyperspectral datasets are used to conduct experiments, with the findings reported and compared to those of established hyperspectral classification techniques. Texture feature extraction and CNN were used to investigate [14] claim that we can reliably classify the grade of wood boards from their pictures. Classification methods using deep learning were compared to those using standard algorithms. In [15] developed a robust deep face detection system was developed based on R-CNN. Experiments are conducted on the challenging detection benchmarks FDDB and WIDER FACE to demonstrate the strategy’s efficacy. Several facial recognition algorithms have been studied in [Benaissaa and Kobayashi]. The authors looked into the feasibility of using facial recognition technology in supermarkets to offer loyalty discounts based on a customer’s frequency of store visits. Business analytics rely on the system’s collected data.

It is standard practice to train a convolutional neural network with thermal images as an additional set of input images to extract more information from the photos. An experiment was carried out by [16] to improve object detection in the thermal image domain using attributes from the RGB image. Similarly, to decrease recorded noise in RGB images, a combination system for image identification in [17] uses merging two individual input photos. Using a hybrid of the Retina and U-Net latter for a one-stage object detector was demonstrated in [18] to further improve object detection through the exploitation of data. The well-known object detection method RefineNet [19] uses two models for optimum accuracy. Two interdependent modules—one for object identification and one for anchor refining—form the basis of these combinations.

Numerous techniques exist for enhancing the functionality of both one-stage and two-stage detectors [20]. The simplest choice is to clean the training data so that convergence and average accuracy can be achieved faster. An often-used method for obtaining negative samples is the hard

negative sampling approach [21]. To improve the accuracy or speed of detection, changing the context information is another option [29], [30]. Pre-trained CNN architecture models from 2012 to 2018 are shown in Figure 1.

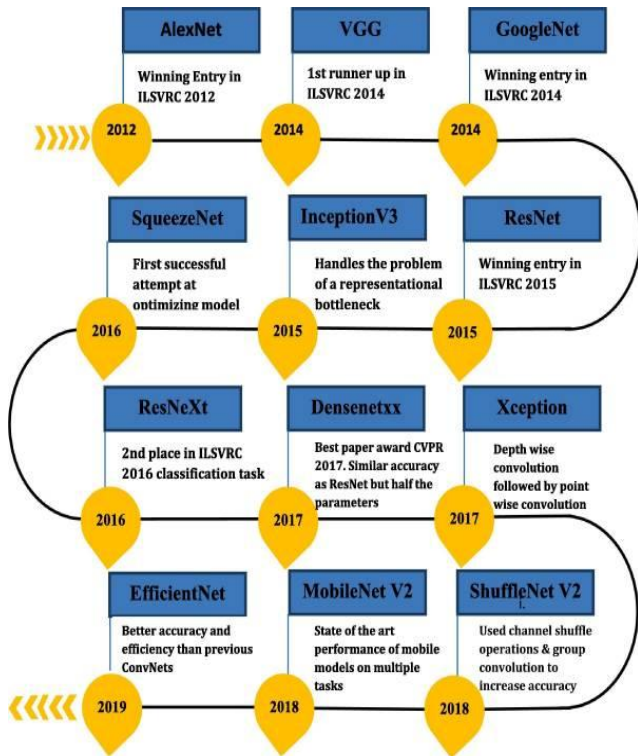


Fig. 1. Pre-trained CNN architecture models from 2012 to 2018 [21].

III. METHODOLOGY

Artificial intelligence relies on such kinds of self-learning algorithms. Such algorithms are dynamic, changing over time as more data is collected on the project [22]. Technology aimed at fixing these problems is continuously developing. These models of the human brain allow self-learning algorithms to function [23]. Nodes (neurons) in artificial neural networks (ANNs) are connected in layers like human nerve cells. This neuron network is a storage facility, a processor (with positive or negative weighting), and an output device. ANNs hold a lot of promise because of their multi-layered structure and ability to spot subtler patterns. The term “deep learning” [24], [25] describes the types of learning these kinds of networks are capable of.

This research implements a deep transfer learning system for face mask classification. First, the problem of class imbalance in the dataset is fixed, and variety is generated using pre-processing and several augmentation techniques. The second stage involves automatically extracting features and using a “MobileNet” and “MobileNetV2” model that has already been trained for face mask identification classification. Figure 2 shows a flowchart of the proposed procedure.

A. Face Mask Detection Dataset 2020

The most extensive collection of high-quality face mask images made accessible for study can be found in the Face Mask Detection (FMD) dataset archive [26]. There are 8982 images in the dataset, 2994 related to the mask-worn incorrect

class, 2994 associated with the mask class, and 2994 related to images without a mask class. Figure 3 shows examples of the various classes. The pictures of the appropriate class were randomly picked from the entire collection. Following that, other techniques for data augmentation, such as rescaling, width shifting, rotation, shear range, horizontal flip, and channel shifting, were implemented.

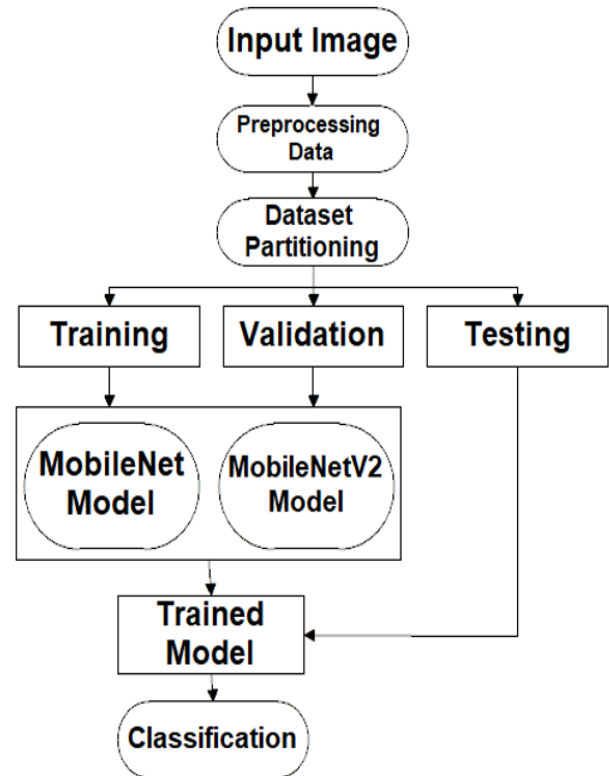


Fig. 2. Proposed method flowchart.



Fig. 3. (a) mask weared incorrect, (b) with mask and (c) without mask classes of the FMD dataset.

B. Image Pre-Processing

All input images for the face mask detection dataset are preprocessed to achieve increased consistency in classification results and advanced features. Due to the significant training time required for the CNN method and the potential for overfitting, a large-scale image dataset was essential.

C. Training, Validation, and Testing

Training, testing, and validating sets were available in the FMD-2020 dataset. The training set was used to teach the MobileNetV2 and MobileNet models, while the validation and test sets were used to evaluate the new model's performance. For this reason, we split the dataset into a 70%:15%:15% training set, a 15% test set, and a 15% validation set. Models such as MobileNetV2 and MobileNet were trained using the data set above. The FMD-2020 dataset used 5394 training images, 1794 validation images, and 1794 test images. Summary of the FMD-2020 dataset as shown in Table I.

TABLE I. SUMMARY OF THE FMD-2020 DATASET

Split	Classes	Label Samples	Total Samples
Training	mask weared incorrect	1798	5394
	with mask	1798	
	without mask	1798	
Validation	mask weared incorrect	598	1794
	with mask	598	
	without mask	598	
Testing	mask weared incorrect	598	1794
	with mask	598	
	without mask	598	
Total			8982

D. The Proposed Methodology

1) MobileNet Base Model

The MobileNet model is the first mobile computer vision model available in the TensorFlow library, as its name suggests. Convolutions in MobileNet are separated in depth. Compared to a network built with standard convolutions of the same depth, the number of parameters in this method is drastically reduced. A byproduct of this is compact deep

neural networks that don't sacrifice performance. Since Google released the source code for the MobileNet class of CNN, we have a great foundation to build our ultra-compact, lightning-fast classifiers. Architecture is shown in Figure 4.

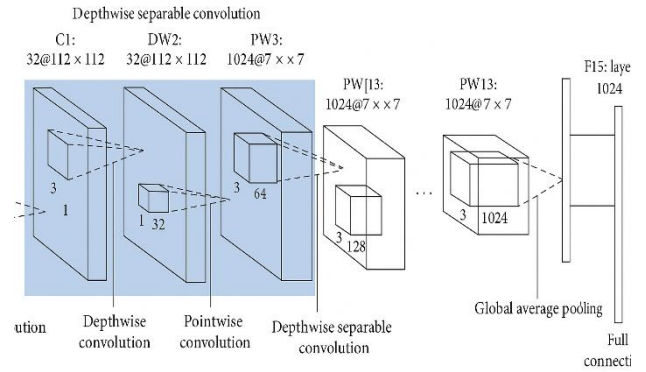


Fig. 4. Proposed Method MobileNet Architecture.

2) MobileNetV2 Base Model

The problem of face mask categorization is investigated here using the deep transfer learning MobileNetV2 [27] architecture. The MobileNetV2 concept was chosen due to several contributing reasons. MobileNetV2 is a framework that minimizes the cost of errors while maximizing the speed and memory usage of the execution [27]. Using a compact but expressive system like MobileNetV2 helped reduce the risk of over-fitting due to the short size of the dataset used to train the model. The low memory footprint is a nice bonus, and the quick execution speed makes adjusting and experimenting with the parameters much more manageable. MobileNetV2 borrows heavily on the foundation established by its predecessor, MobileNetV1. Specifically, the depthwise separable convolution, linear bottleneck, and inverted residual are explored to shed light on how these three key ideas come together to describe the MobileNetV2 framework. Architecture is shown in Figure 5.

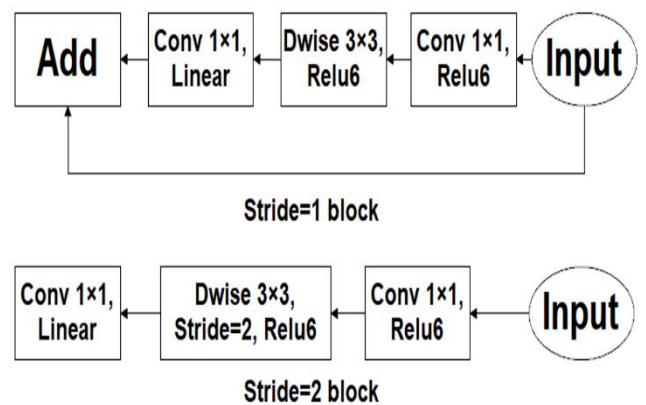


Fig. 5. Proposed Method MobileNetV2 Architecture.

E. Evaluation Measures

The proposed method was evaluated on the testing dataset after the training phase. Accuracy, F1 score, precision, and recall were used to verify the architecture's performance. In the following sections, we'll investigate the performance measurements used in this study. True positives (TP), true

negatives (TN), false negatives (FN), and false positives (FP) are defined and represented mathematically in the following.

a) *Classification Accuracy*

The accuracy of a classification system can be evaluated by determining what percentage of its predictions were correct and what percentage were incorrect.

$$\text{Accuracy} = (\text{TP} + \text{TN}) / (\text{TP} + \text{TN} + \text{FP} + \text{FN})$$

b) *Precision*

When analysing the effectiveness of a model, classification accuracy may not always be the most appropriate metric to employ. For instance, this is one scenario where a considerable gap in socioeconomic status exists. It's a safe bet to assume that each sample is of the highest possible quality. If the model isn't picking up any new information, it would be irrational to infer that all components belong to the best class. Therefore, when we discuss accuracy, we refer to the fluctuation in findings you receive when measuring the same object multiple times with the same tools. The term "precision" refers to one of these statistics and can be defined as follows:

$$\text{Precision} = \text{TP} / (\text{TP} + \text{FP})$$

c) *Recall*

Another critical parameter is called recall, and it refers to the percentage of input samples that are of a type that the model can accurately predict. The formula for the recall is as follows:

$$\text{Recall} = \text{TP} / (\text{TP} + \text{FN})$$

d) *F1 Score*

The f1 score is a statistic utilised to contrast recall and precision.

$$\text{F1Score} = 2 * (\text{Precision} * \text{Recall}) / (\text{Precision} + \text{Recall})$$

IV. RESULTS AND DISCUSSION

For training and testing, we took advantage of high-powered Graphics Processing Units (GPUs) hosted on a blank Google Colab [28] Pro account. For this purpose, we employed transfer deep learning models. The proposed FMD-DLM was trained using Sparse Categorical Cross-Entropy loss functions, and all tests were conducted using the Adam optimizer at a learning rate of 0.0001. The training iterations for this model were 10, with an initial batch size of 8, and the best val_loss models were preserved throughout the process. The MobileNet and MobileNetV2 models recommended the following parameters: 8 batches, 10 epochs, early pausing, and model saving based on val_loss.

- We evaluated the performance of the presented MobileNet and MobileNetV2 models on the FMD-2020 dataset using various data augmentation techniques.
- The proposed MD-DLM is more effective than previous versions in terms of accuracy.
- The results were compared with state-of-the-art techniques.

A. The Performance Analysis of the Proposed Mask Detection using Deep Learning Method (MD-DLM)

1) MobileNet Proposed Model Performance on FMD-2020 Dataset

We evaluated and analysed the performance of the MobileNet base model on the FMD dataset. Validation accuracy for the model increased from 98.88% at the end of the first epoch to 99.44% after the most recent epoch. Training accuracy improves from 92.53% after the first epoch to 100% after the last epoch in Figure 6. As seen in Figure 6, MobileNet's validation loss drastically decreased from 4% to 2.21%. Furthermore, similar to the initial loss, the training loss was 22.14% after the first period and 0.14% after finishing training.

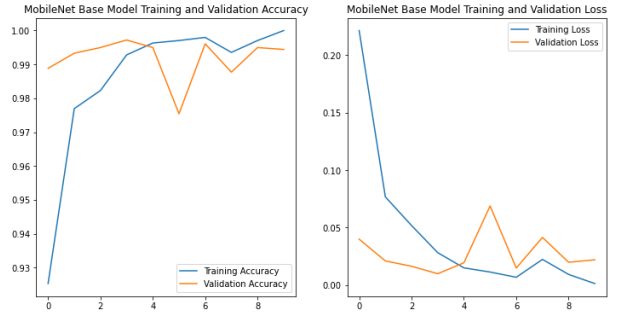


Fig. 6. The MobileNet Base Model: (a) Accuracy (b) Loss Graph.

The performance of the MobileNet base model on an unseen test set is broken down in Table II. The model attained an average accuracy of 99.55% when applied to all classes in the test set; however, MobileNet achieved a precision of 99%, a recall of 100%, and an F1 score of one on the mask worned incorrect class. With a perfect 100% precision, 99% recall, and 99% F1 score, the with mask class is remarkably outstanding. All three metrics (f1 score, precision, and recall) achieved perfect scores for the class without the mask class.

TABLE II. PRECISION, RECALL, F1 SCORE, AND ACCURACY OF THE MOBILENET BASE MODEL.

Performance Measures	Precision	Recall	F1 Score	Accuracy
mask weared incorrect	99%	100%	100%	100%
with mask	100%	99%	99%	98.99%
without mask	100%	100%	100%	100%
Average Accuracy				99.55%

We could visually compare the categorization accuracy of different models using a confusion matrix. Predictions that turned out to be incorrect are represented by rows in the confusion matrix that are not on the diagonal. Darker colours indicated higher classification accuracy in the corresponding MobileNet base model for each class, while lighter colours indicated the existence of misclassified data. Confusion matrices from the test set will be used to assess MobileNet's overall effectiveness (shown in Figure 7). Predictions generated by the MobileNet baseline model were accurate across all image categories, as evidenced by the confusion

matrix. Using the default parameters for the MobileNet model, the confusion matrix shows that 99.55% of the data were identified correctly, with only 0.45% of incorrect classifications. Comparing the confusion matrices for the mask weared incorrect, with mask and without mask samples, demonstrates that the MobileNet base model performs admirably.

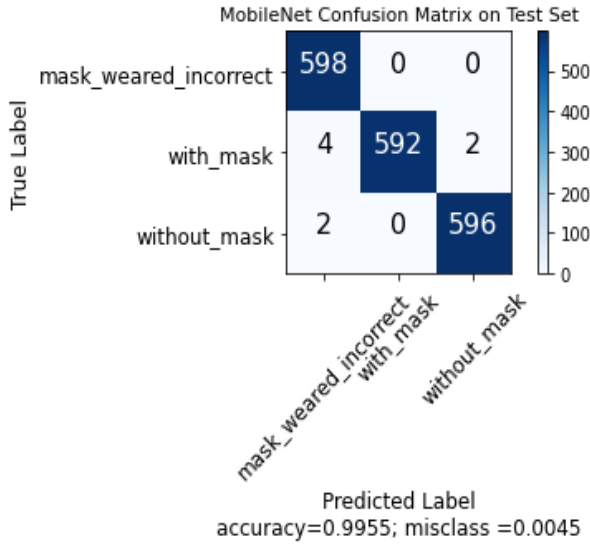


Fig. 7. The MobileNet Base Model Confusion Matrix on Test Set.

2) MobileNetV2 Proposed Model Performance on FMD 2020 Dataset

Using the FMD dataset, we analysed and evaluated MobileNetV2's performance as the base model. With each passing epoch, the model's validation accuracy improves, from 95.72% at the end of the first epoch to 99.16% after the most recent epoch. Figure 8 shows that the training accuracy increases from 92.08% after the first epoch to 99.56% after the last epoch. Figure 8 displays the dramatic improvement in validation loss from 14.18% in MobileNetV2 to 4.18%. Furthermore, the training loss was 23.06% after the first period and 1.34% after the concluding training, which is very similar to the original loss.

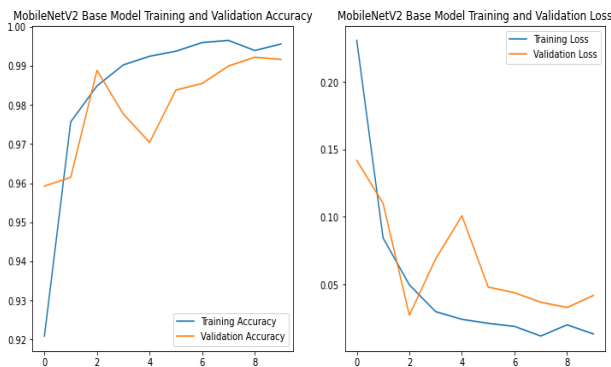


Fig. 8. The MobileNetV2 Base Model Confusion Matrix on Test Set.

Table III summarises the results for an unseen test set using the MobileNetV2 baseline model. When applied to all classes in the test set, the model achieved an accuracy of 98.94%, with MobileNetV2 achieving a precision of 99%, a recall of 100%, and an F1-score of one on the mask weared

incorrect class. The with mask class has remarkable excellence: a perfect F1 score of 98%, recall of 97%, and precision of 100%. For the class without mask, the F1 score, precision, and recall averaged 98%, 100%, and 99%, respectively.

TABLE III. PRECISION, RECALL, F1 SCORE, AND ACCURACY OF THE MOBILENETV2 BASE MODEL.

Performance Measures	Precision	Recall	F1 Score	Accuracy
mask weared incorrect	99%	100%	100%	100%
with mask	100%	99%	98%	96.98%
without mask	98%	100%	99%	99.83%
Average Accuracy				98.94%

Using a confusion matrix (as shown in Figure 9), we could examine how effectively various models classified data. The rows of the confusion matrix that are not on the diagonal represent predictions that turned out to be wrong. In the corresponding MobileNetV2 base model, higher classification accuracy for each class was represented by darker colours, while lighter colours indicated misclassified data. MobileNetV2's overall efficacy will be evaluated using confusion matrices from the test set. From what can be seen in the confusion matrix, the predictions made by the MobileNetV2 baseline model are spot-on for every single type of image. The confusion matrix demonstrates that when the MobileNetV2 model was trained using the default parameters, 98.94% of the data were correctly identified, with only 1.06% incorrectly classified. The excellent performance of the MobileNetV2 base model is shown by comparing the confusion matrices for the mask weared incorrect, with mask and without mask samples

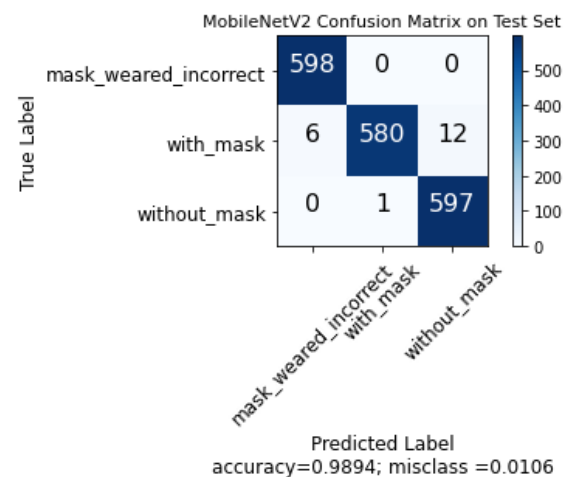


Fig. 9. The MobileNetV2 Base Model Confusion Matrix on Test Set.

B. Comparison of Proposed Models

Researching published works did not work with the MobileNetV2 approach. Since this is the case, we are unable to compare the studies. We evaluate our model against face

masks current gold standard for problem detection. A comparison of the accuracy of the proposed technique with that of other recent studies is provided in Table IV.

TABLE IV. CLASSIFICATION ACCURACY OF PROPOSED MODELS ON TEST SET.

Model Name	Accuracy
MobileNet Base Model	99.55%
MobileNetV2 Base Model	98.94%

V. CONCLUSION

The research described in this manuscript explored using Convolutional Neural Networks to recognise facemasks in real-time using deep learning techniques. For facemask detection, this method is both reliable and quick. The test findings demonstrate a remarkable accuracy rate in identifying people who are either not wearing a facemask, are wearing the wrong facemask, or are not wearing any mask. The trained model completed the task using the MobileNet and MobileNetV2 models, with individual accuracy results of 99.55% and 98.94%. In addition, the study provides a practical tool for combating the transmission of the COVID 19 virus by determining whether or not a person is donning a facemask.

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